# The permuton tree

# Benoît Corsini

joint work with Victor Dubach and Valentin Féray



Binary search trees

#### Permutons

 $\mathbf{T}$  Our results

#### **C** The conditions

Â

Binary search trees

#### Permutons

**P** Our results







67



67



67

'0'



67















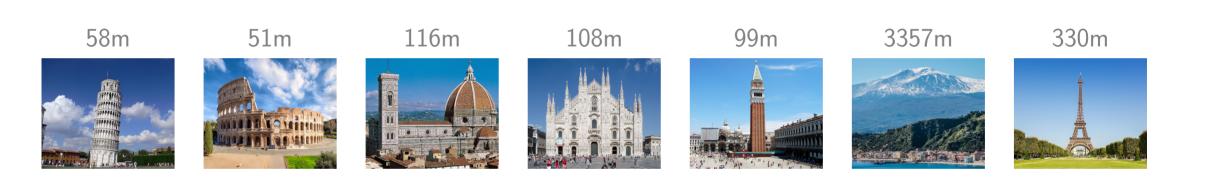












#### 3357m



330m







51m

116m



Binary search trees

108m

99m

A H & Lat my Horse

Benoît Corsini

The permuton tree

#### 3357m



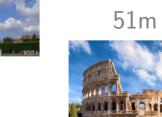
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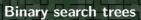


116m

'1'

'0'

The permuton tree



108m

99m

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116m

'1'

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A H & Lat my Here

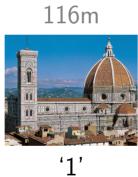
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330m



'110'





'10'

'100'

99m

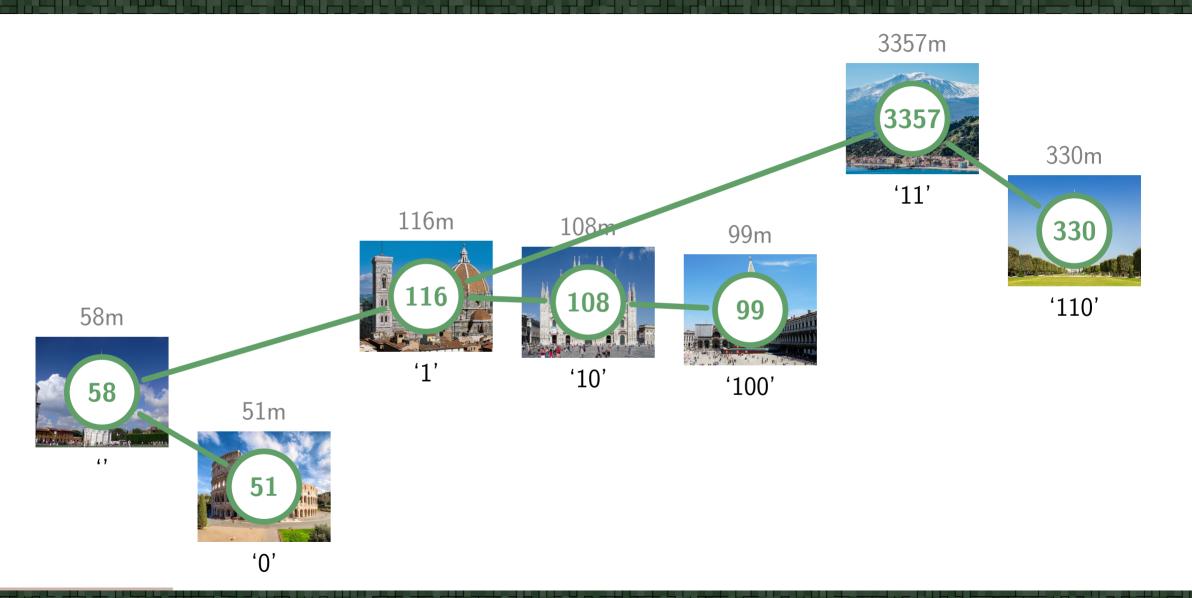


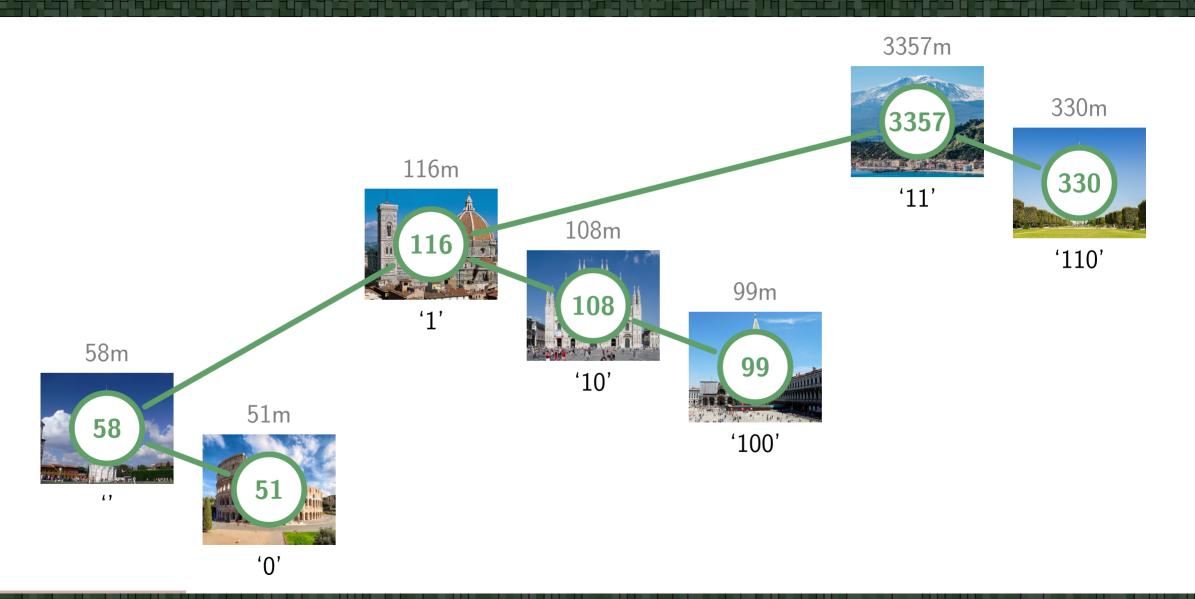


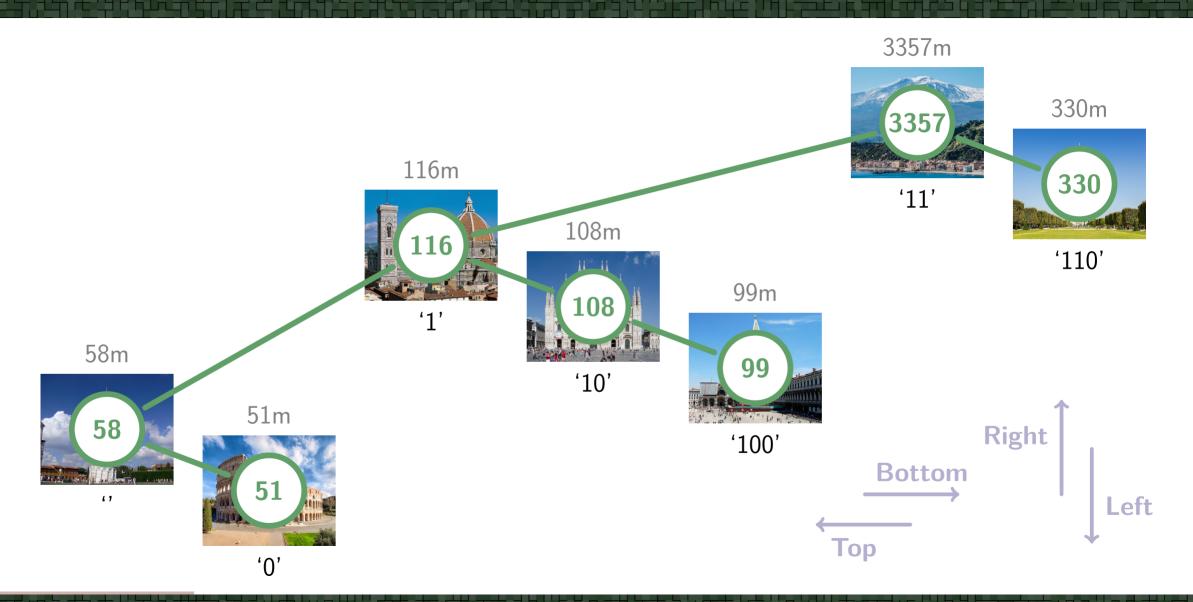
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'0'







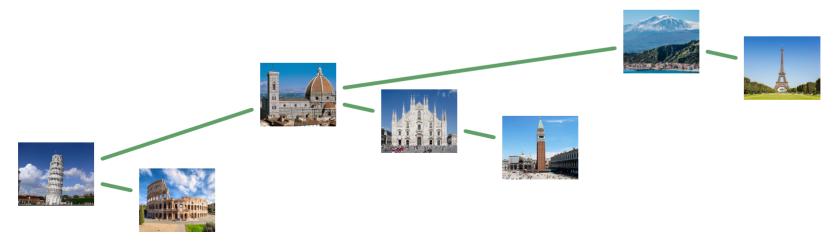
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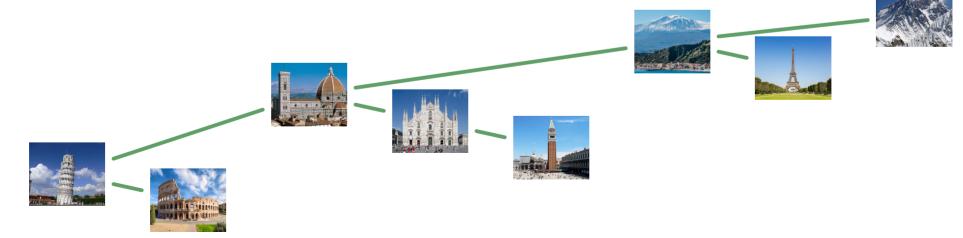
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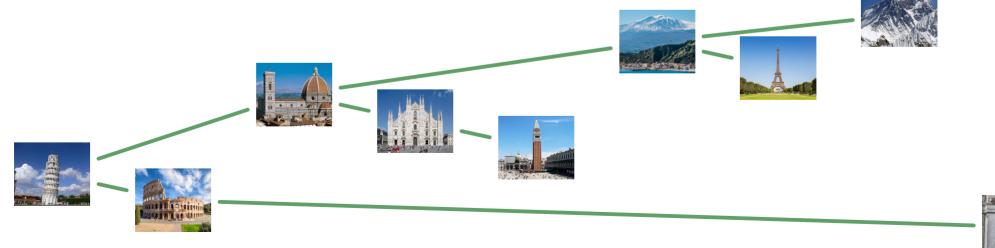
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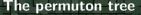


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→ Seen as storing devices, we are often interested in the "worst case retrieval time", corresponding to the height of the binary search tree.

## Random Binary Search Tree

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**Devroye** (1986)

Let  $H_n$  be the height of a RBST of size n. Then there exists a constant  $c^* \simeq 4.311...$  such that  $\frac{H_n}{c^* \log n} \longrightarrow 1.$ 

Binary search trees







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- It has uniform marginals.

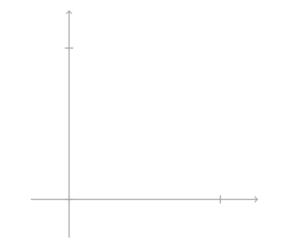
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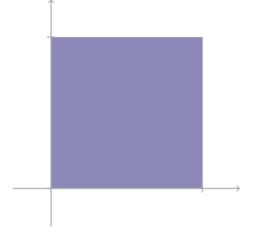
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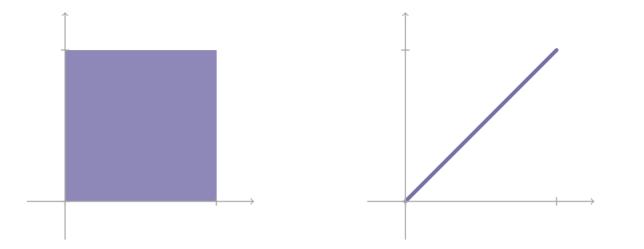
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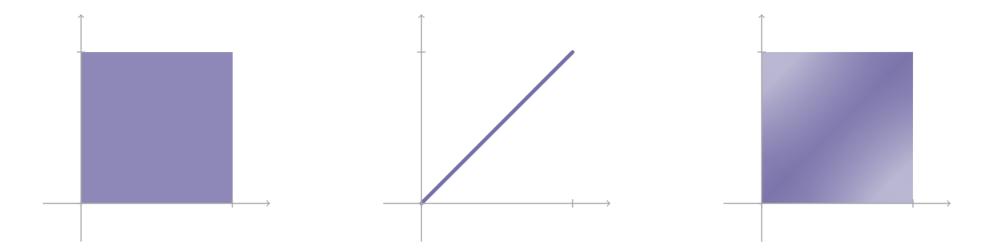
Since the structure of the tree is only define by the relative positions of the points, we can add two extra assumptions on this distribution:

- Its support is  $[0,1]^2$ .
- It has uniform marginals.
- $\rightarrow$  We call such a distribution a *permuton*.

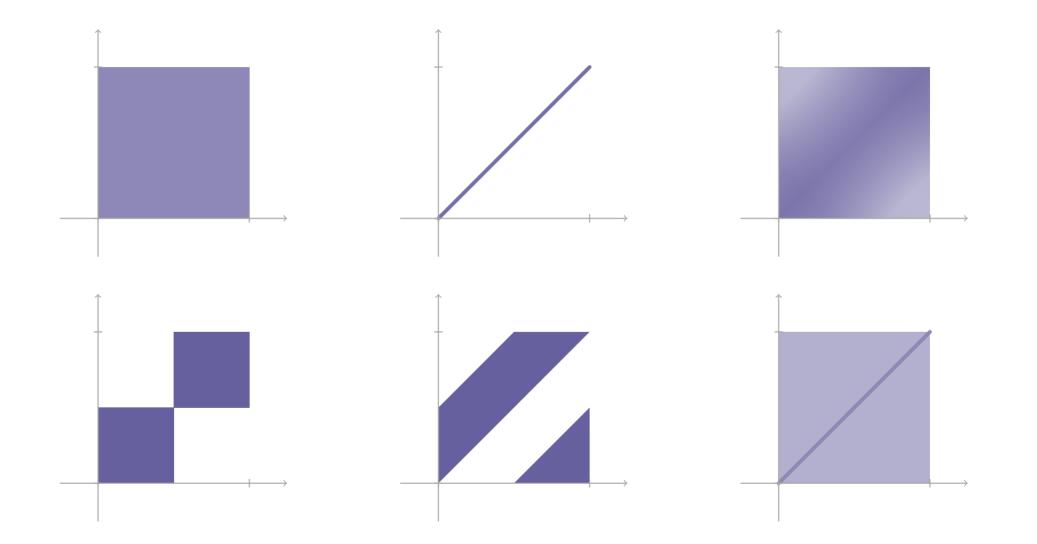


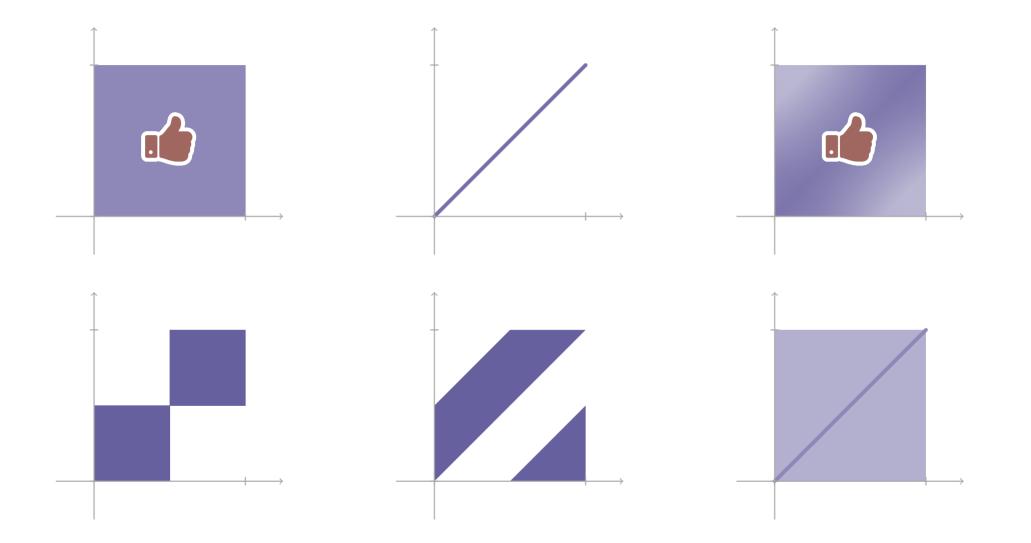


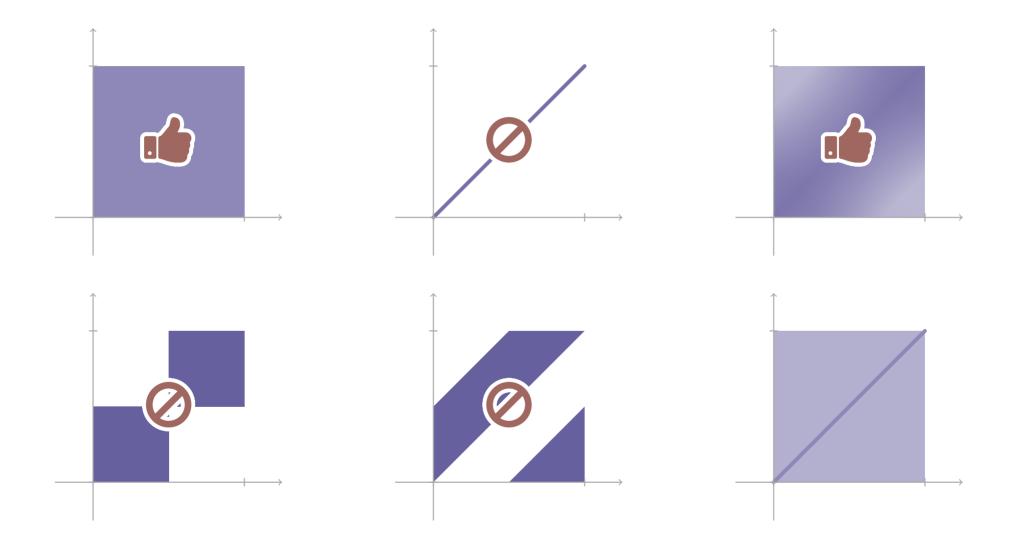


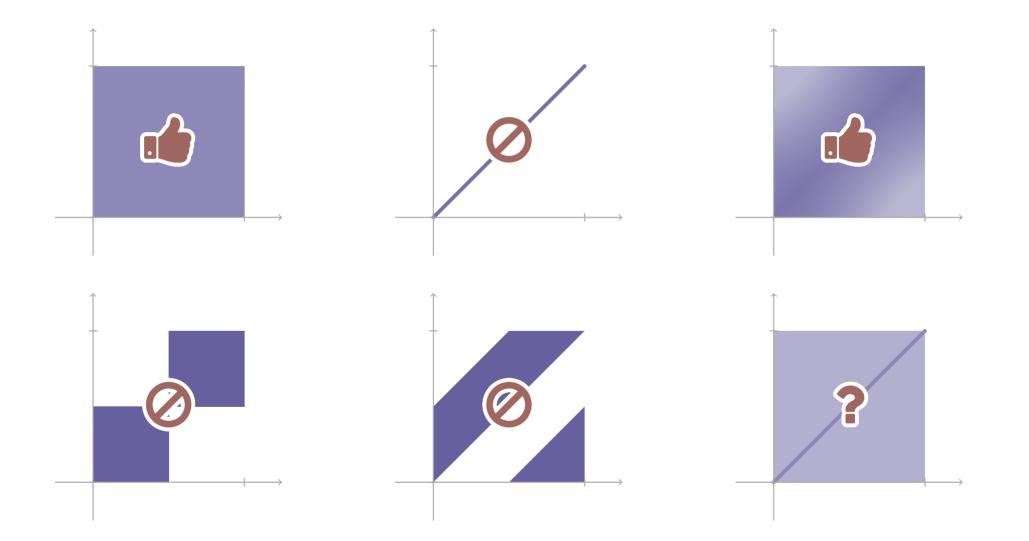


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Binary search trees







## A simple case

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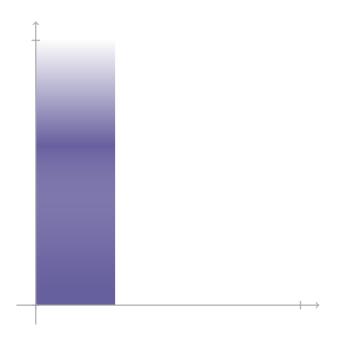
## A simple case

Thanks to Devroye's result, we know that:

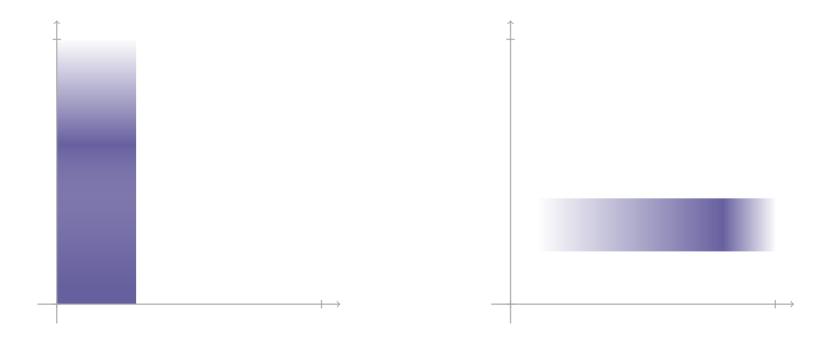
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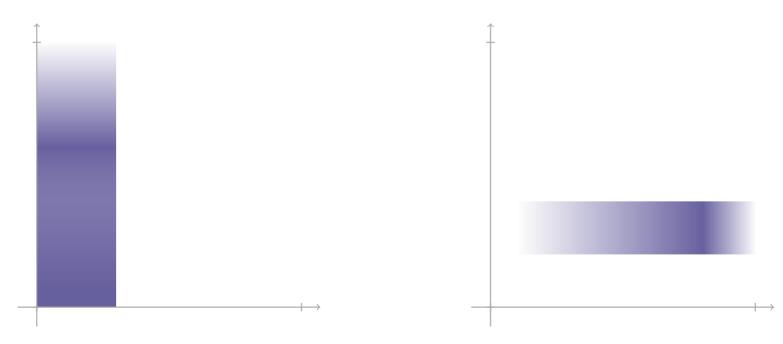
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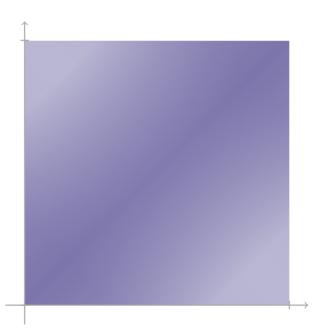


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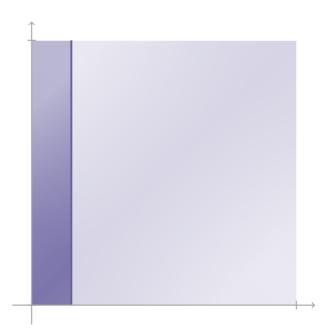


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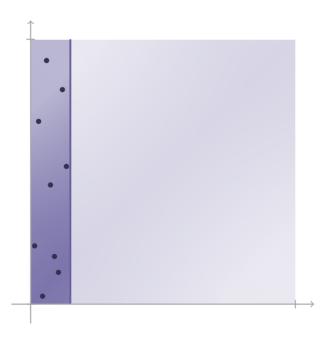
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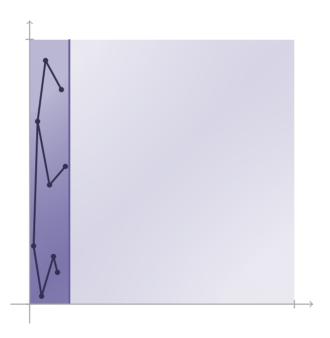
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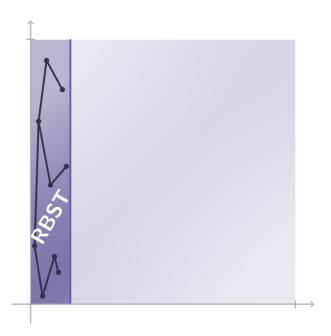
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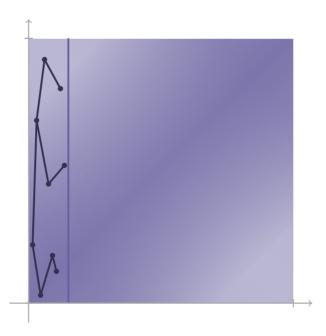
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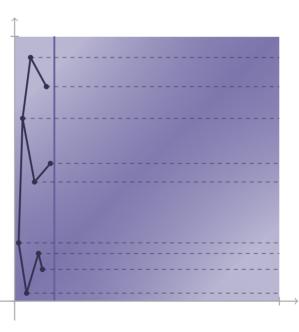
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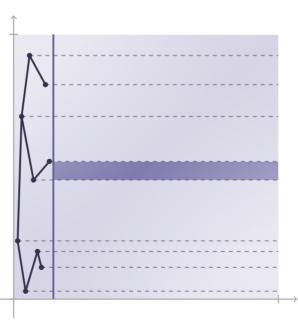
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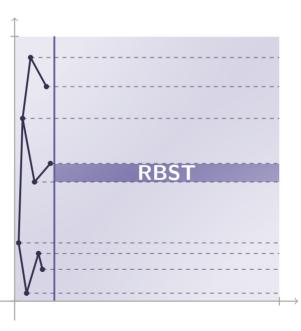
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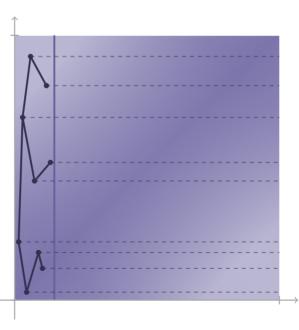
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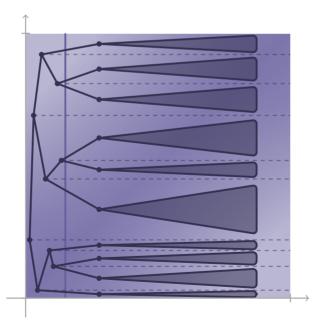
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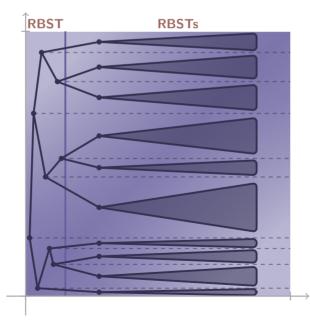
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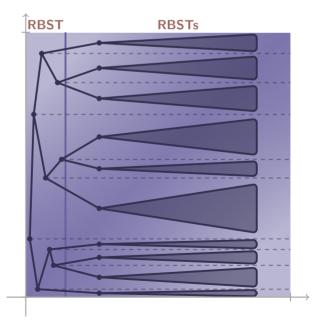
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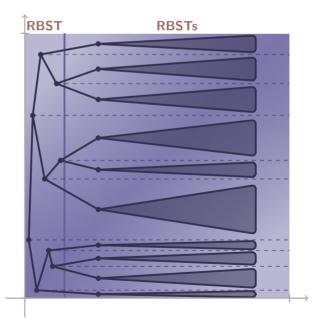
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# A simple case



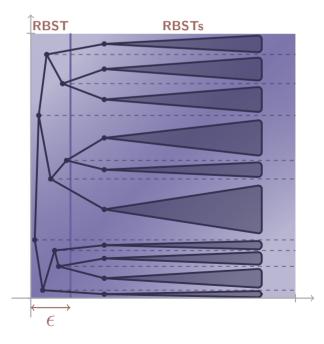
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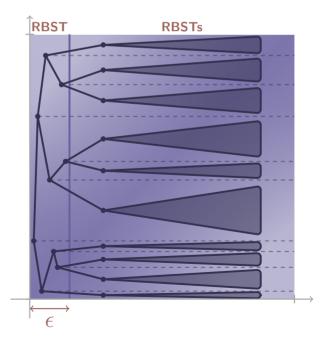
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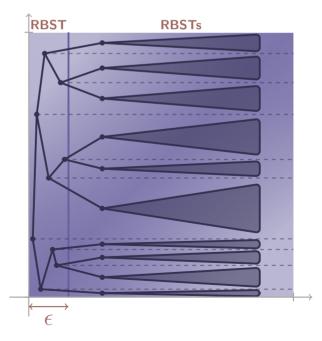
• If the left band has width  $\epsilon,$  there are  $\epsilon n$  points in it.



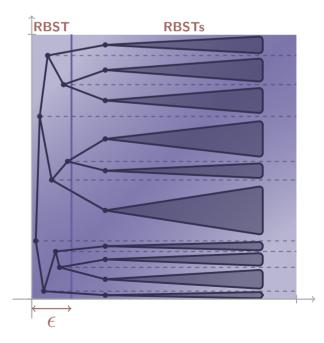
- If the left band has width  $\epsilon$ , there are  $\epsilon n$  points in it.
- The tree of these first  $\epsilon n$  points is a RBST.



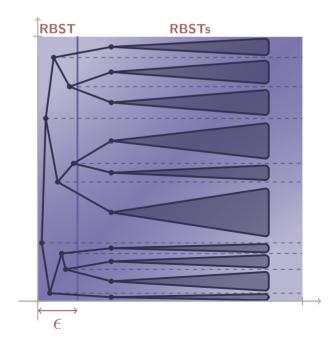
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- The tree of these first  $\epsilon n$  points is a RBST.
  - The height of this top (or left) tree is  $c^* \log(\epsilon n)$ .



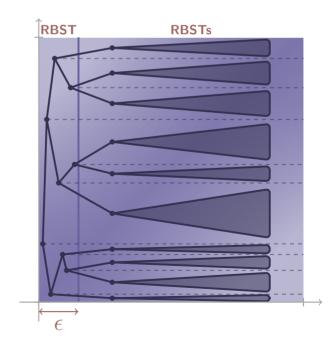
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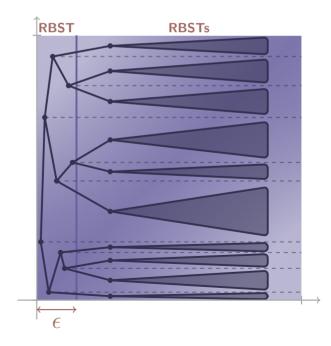
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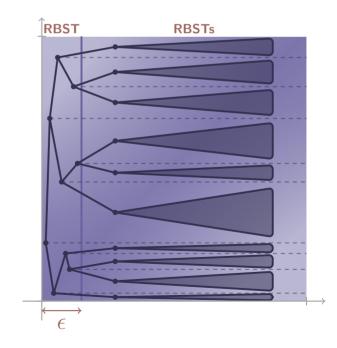
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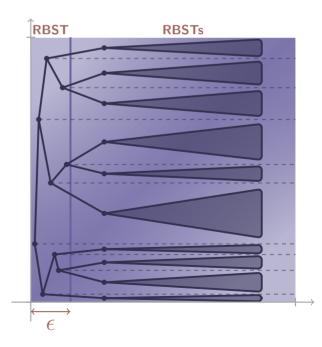
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  - $\circ~$  The height of the right subtrees is  $c^*\log((1-\epsilon)/\epsilon).$
- $\rightarrow$  The height of the whole tree is  $c^* \log n$ .



- If the left band has width  $\epsilon$ , there are  $\epsilon n$  points in it.
- The tree of these first  $\epsilon n$  points is a RBST.
  - $\circ~$  The height of this top (or left) tree is  $c^*\log(\epsilon n).$
- The vertical distance between two points in the left band is  $1/\epsilon n$ .
- The number of points in each band on the right is  $(1 \epsilon)/\epsilon$ .
- The subtrees on the right are RBSTs.
  - $\circ~$  The height of the right subtrees is  $c^*\log((1-\epsilon)/\epsilon).$
- $\rightarrow$  The height of the whole tree is  $c^* \log n$ .
- A While the average width of a band is  $1/\epsilon n$ , the maximal width is actually of order  $\log n/n$ .



## Our result

**†**, **Dubach**, **Féray** (2024)

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Let  $\rho$  be a permuton with a density.

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Let  $\rho$  be a permuton with a density. Further assume that this density is bounded on  $[0,1]^2$  and positive continuous on a neighbourhood of  $\{0\} \times [0,1]$  (the left boundary).

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$$\frac{H_n(\rho)}{c^*\log n} \longrightarrow 1 \,,$$

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where the convergence occurs in probability and in  $\mathbb{L}_p$ , for any  $p \ge 1$ .

## Our result

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The conditions of the theorem can be understood as follows.

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 $\rightarrow$  We will now see why these conditions are necessary.

- Corsini, B., Dubach, V., & Féray, V. (2024). Binary search trees of permuton samples. arXiv preprint arXiv:2403.03151.
- Devroye, L. (1986). A note on the height of binary search trees. Journal of the ACM (JACM), vol. 33, no 3, p. 489-498.

This project has received funding from the Future Leader Program of the LUE (Lorraine Université d'Excellence) initiative and from the European Union's Horizon 2020 Research and Innovation Programme under the Marie Skłodowska-Curie Grant Agreement No. 101034253

Thank you!

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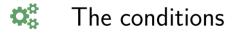
# Thank you! Thank you!

The permuton tree

Binary search trees





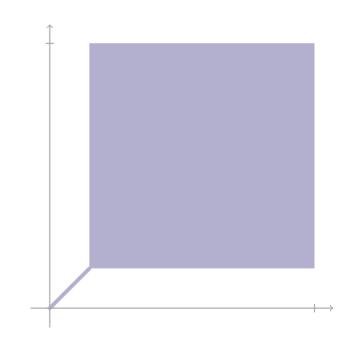


# Condition: left density

$$\rho(A) = \left| \left\{ x \in [0, \epsilon] : (x, x) \in A \right\} \right|_1 + \frac{1}{1 - \epsilon} \left| A \cap [\epsilon, 1]^2 \right|_2.$$

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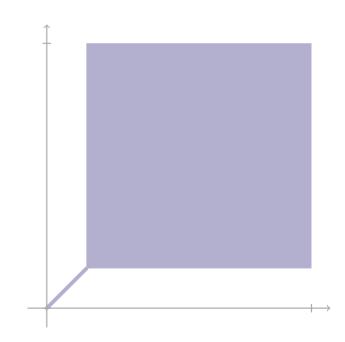
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# **Condition:** left density

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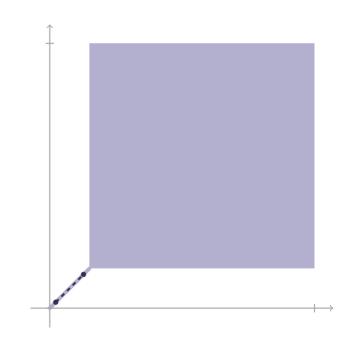
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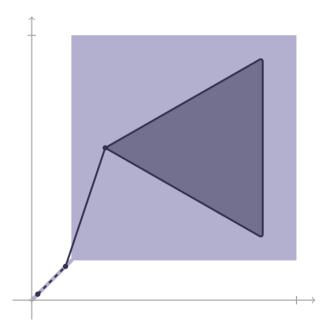
In that case, the tree looks like:

• a right path composed of  $\epsilon n$  points,



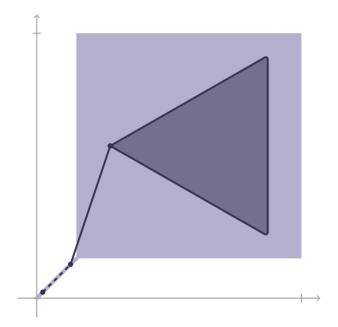
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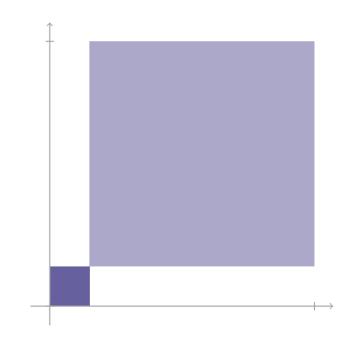
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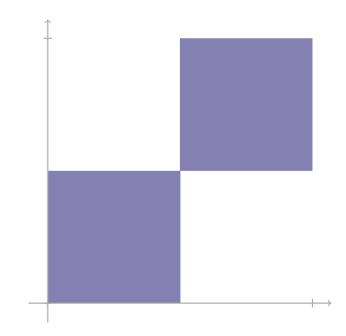


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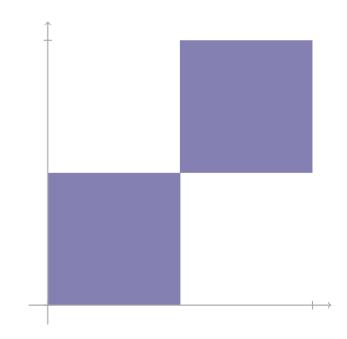


$$\rho(A) = 2\left[ \left| A \cap [0, 1/2]^2 \right|_2 + \left| A \cap [1/2, 1]^2 \right|_2 \right].$$



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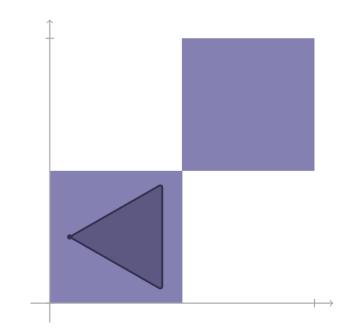


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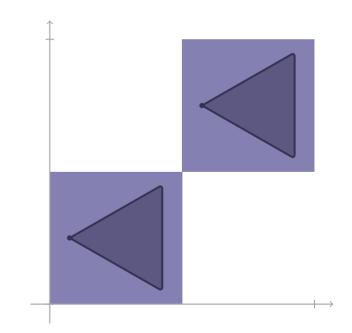
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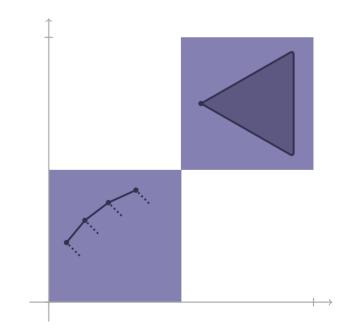
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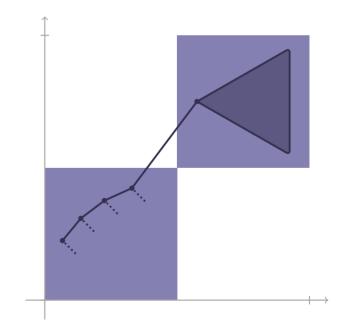
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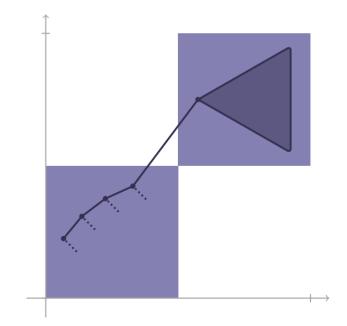
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The rightmost path of a RBST has length  $\log n$ .



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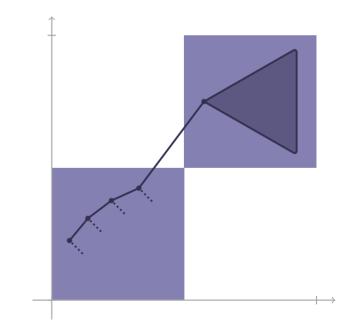
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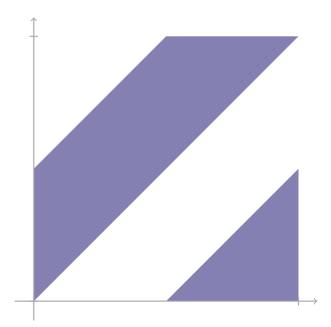
The rightmost path of a RBST has length  $\log n$ .

→ The height is 
$$\log(n/2) + c^* \log(n/2) \sim (c^* + 1) \log n$$
.



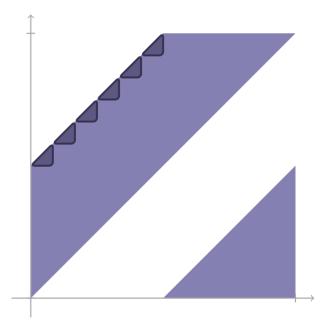
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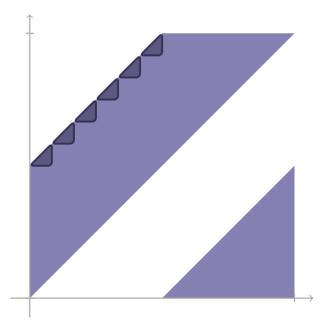


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In that case, we consider the top  $\sqrt{n}/2$  triangles.

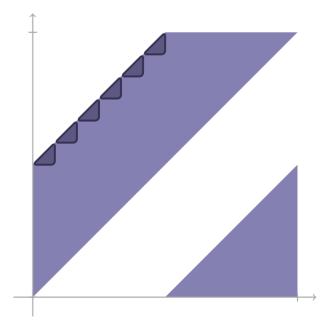
• Each such triangle contains 1 points on average.



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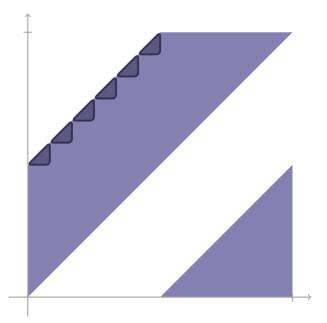
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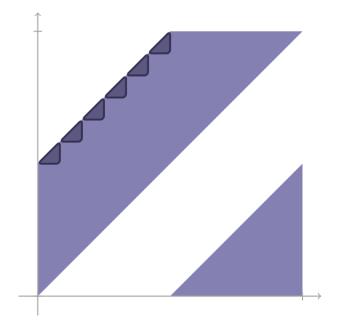
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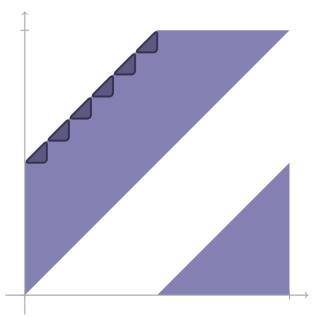
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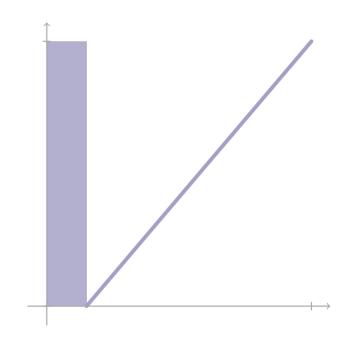
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- $\rightarrow$  The height is at least of order  $\sqrt{n}$ .
- $\rightarrow$  By "smoothing" the density, we can get a height of order  $n^{1/2-\delta}$ .



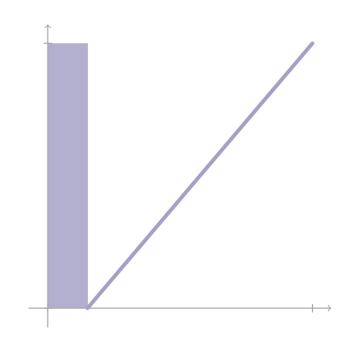
$$\rho(A) = \left| A \cap [0,\epsilon] \times [0,1] \right|_2 + \left| \left\{ x \in [\epsilon,1] : \left( x, (x-\epsilon)/(1-\epsilon) \right) \in A \right\} \right|_1.$$

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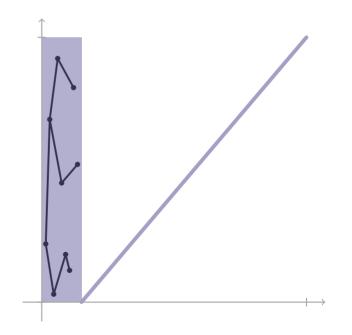


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In that case, the tree looks like:

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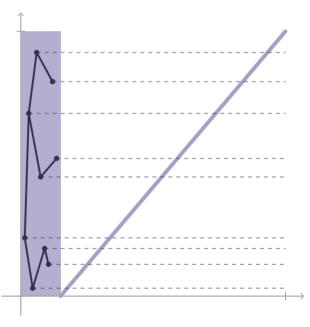


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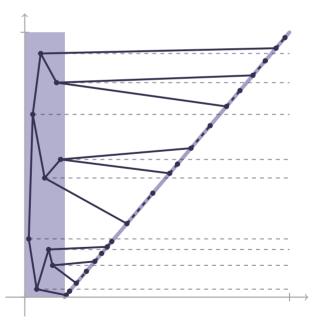
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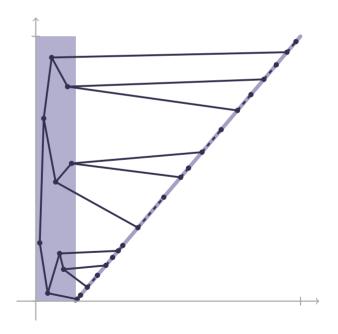
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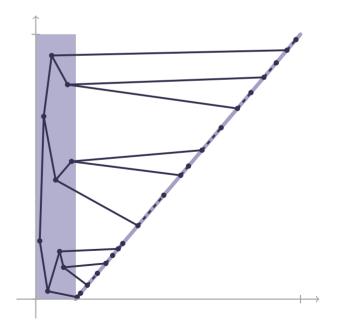
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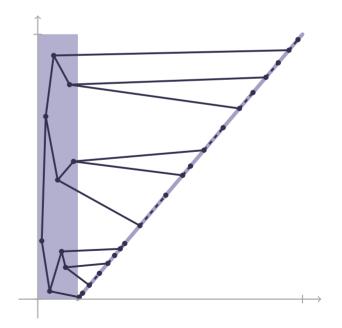
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- but the largest such subtree has size  $(1 \epsilon)\epsilon^{-1}\log n$ .



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