# Binary Search Trees

Benoît Corsini

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- Binary Search Trees
- Random Models
- **Infinite Trees**

Binary Search Trees

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Q: How long to go through the list in order?















Q: How long to go through the list in order?

→ On average 4 queries to find the answer.

































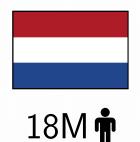








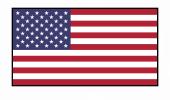
















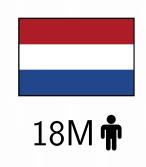








32M 🛊



















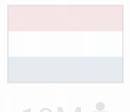
























18M **†** 



11**M ♣** 

340M **†** 



42M 🛉

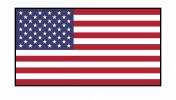
32M 🛊























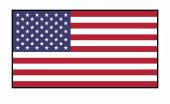


















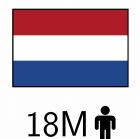






32M **†** 

Q: How long to go through the list in order, if you can use the population?

















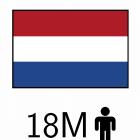








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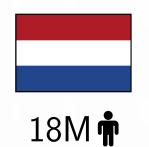






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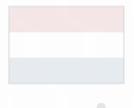








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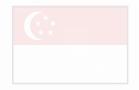
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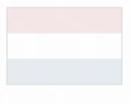








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68M **m** 



11M **m** 



340M **†** 



6M **†** 



42M 🛉

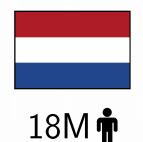




- Q: How long to go through the list in order, if you can use the population?
- $\rightarrow$  The answer is the rest of this talk!



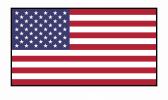
→ In this case, it took 3 queries using the population, against 6 not using it.













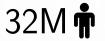














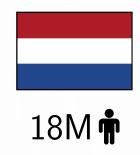








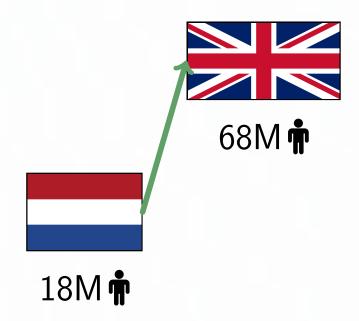


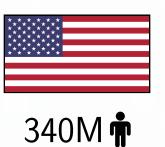














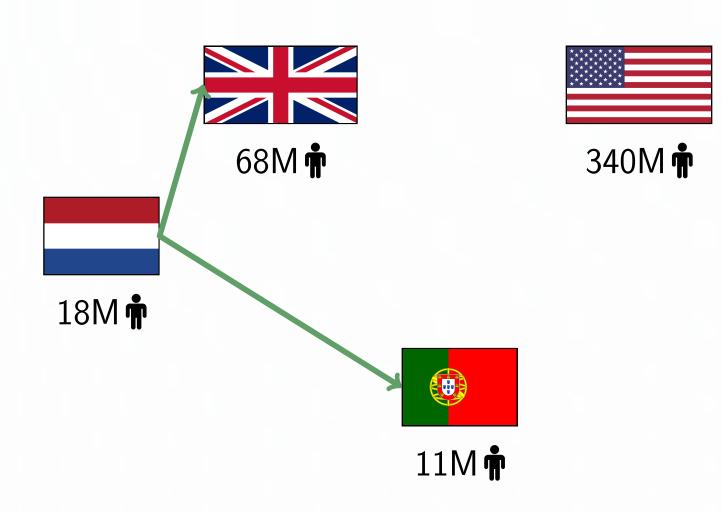














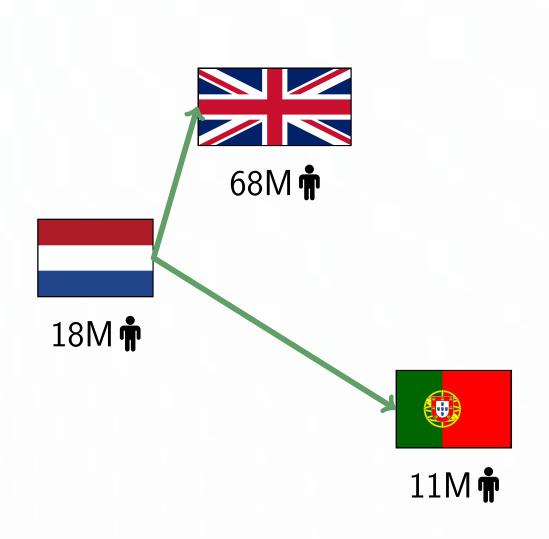


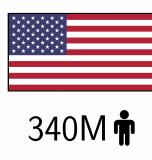






6M**♠** 







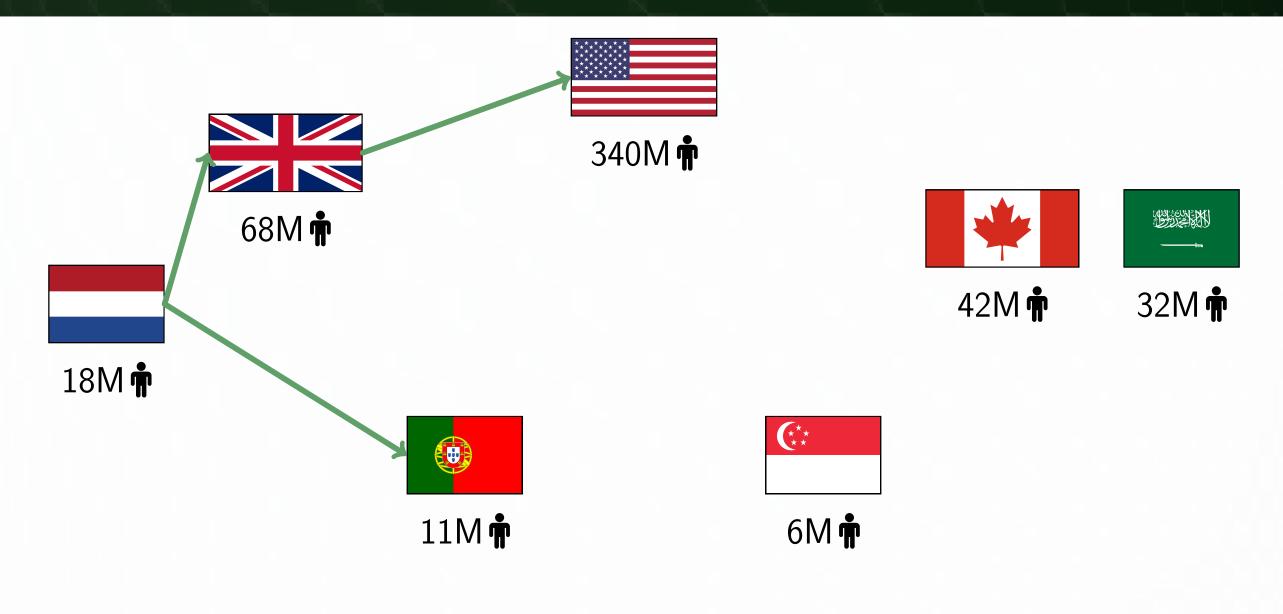


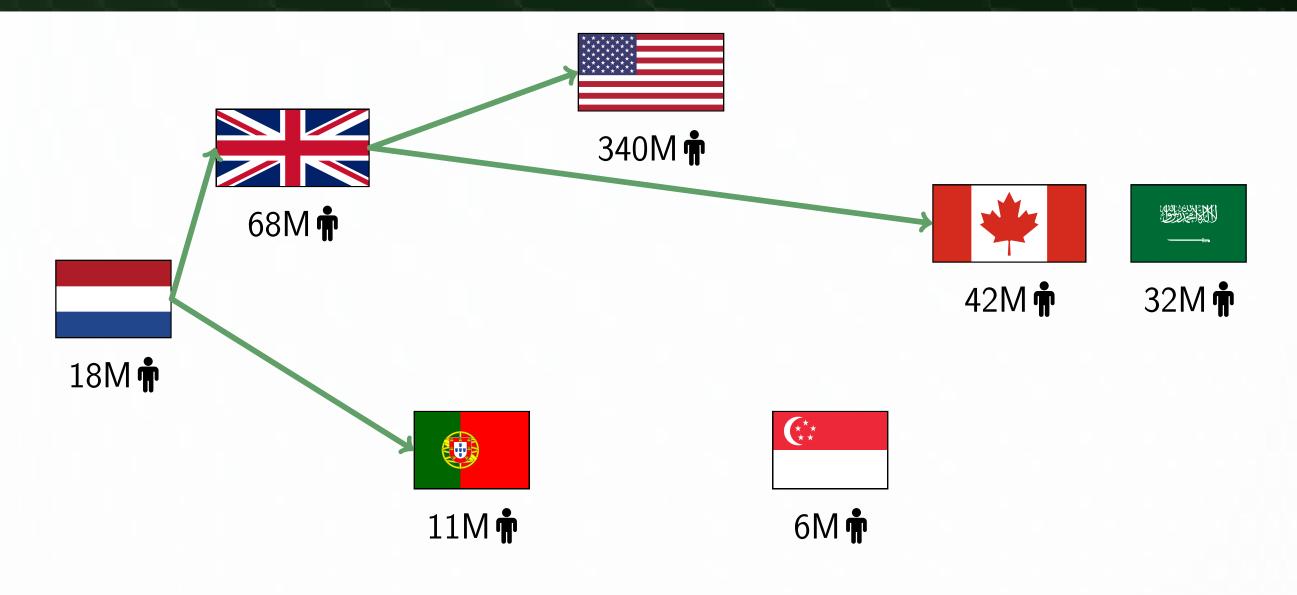




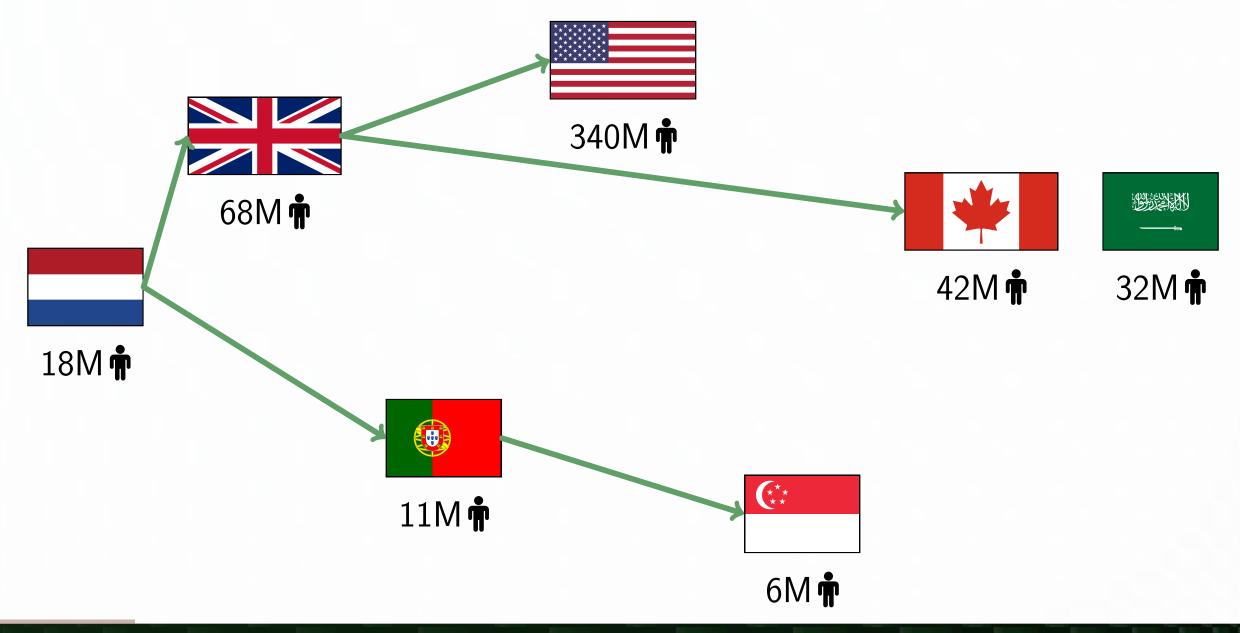




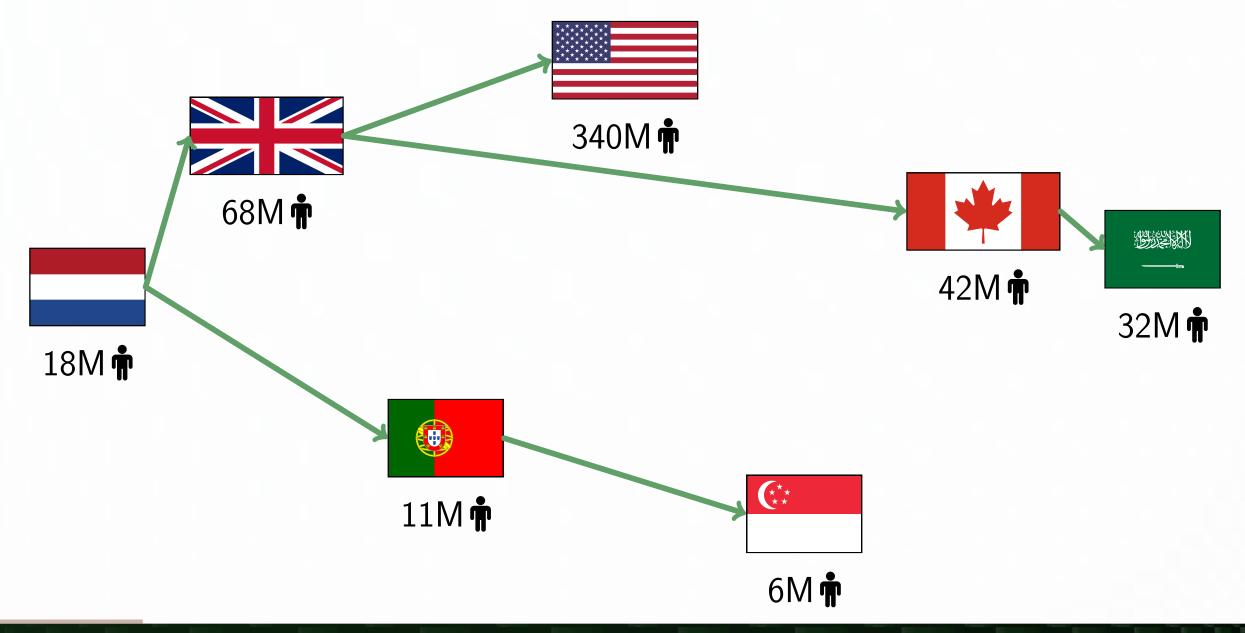




Binary Search Trees Example Benoît Corsini



Binary Search Trees



Binary Search Trees

Example

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Tree:

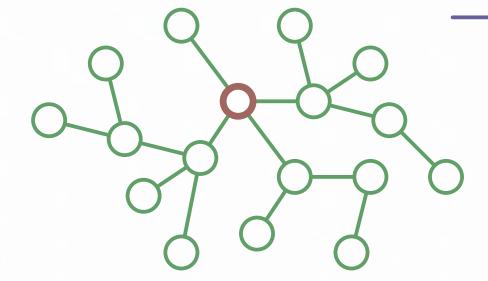
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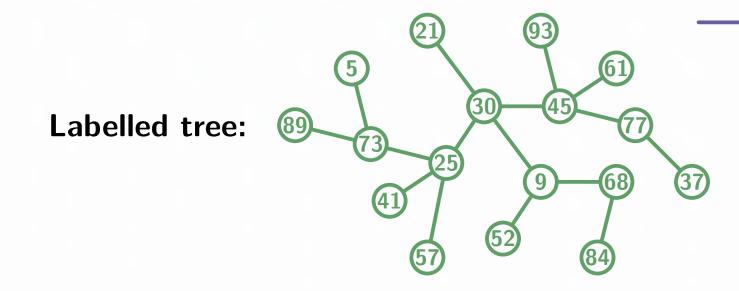
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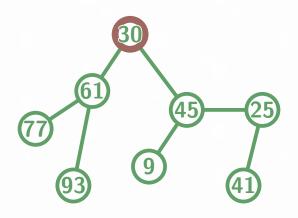
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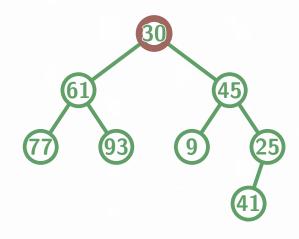
Rooted labelled binary tree:



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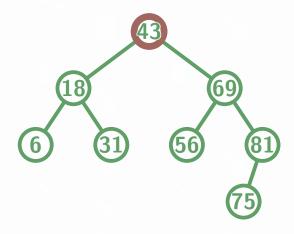
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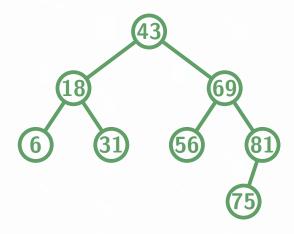
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Binary search tree:



For any sequence of distinct numbers  $(x_1, \ldots, x_n)$ , there exists a unique binary search tree obtained by recursively inserting the values of the sequence in order.

 $\rightarrow$  (43, 18, 69, 6, 31, 56, 81, 75)

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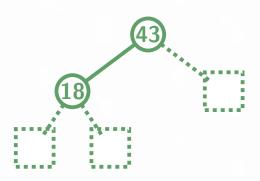
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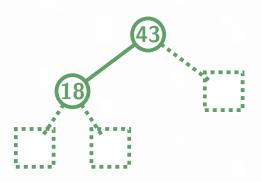
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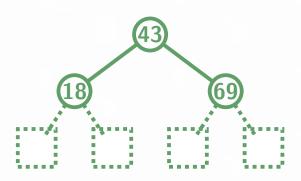
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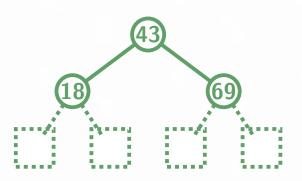
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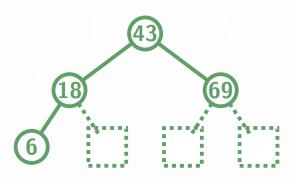
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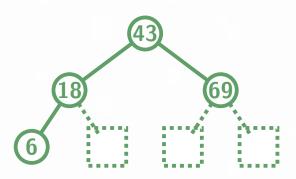
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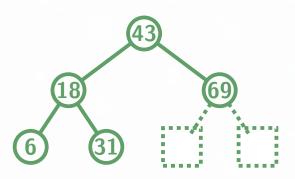
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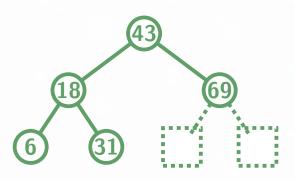
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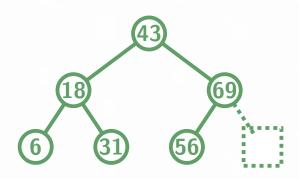
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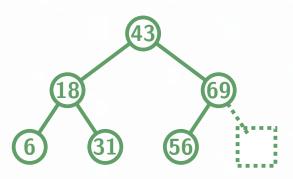
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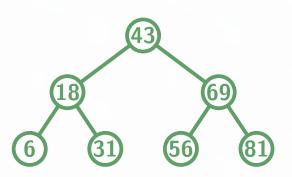
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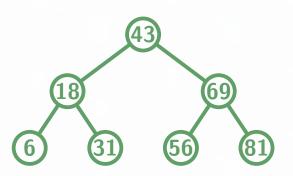
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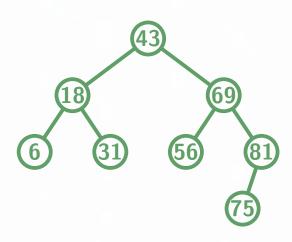
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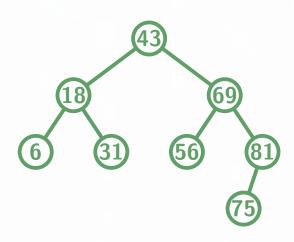


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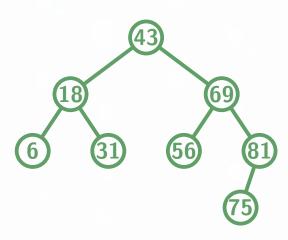


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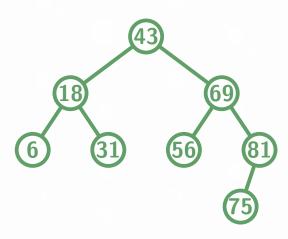
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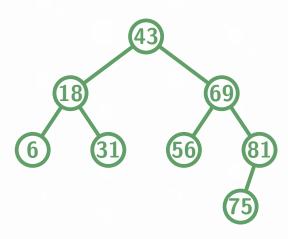
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# **Examples**

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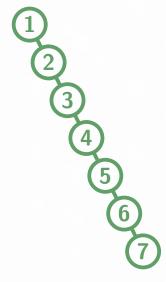
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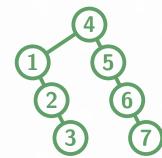
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- $\rightarrow$  There are also a relatively "recent" object (early results from the end of the 70<sup>4</sup>) with a lot of interesting research left to pursue!

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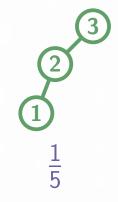
Random Models



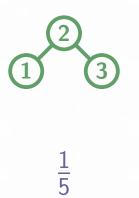


Q: If you had to create a random model of binary search trees, how would you do it?

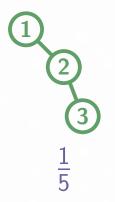
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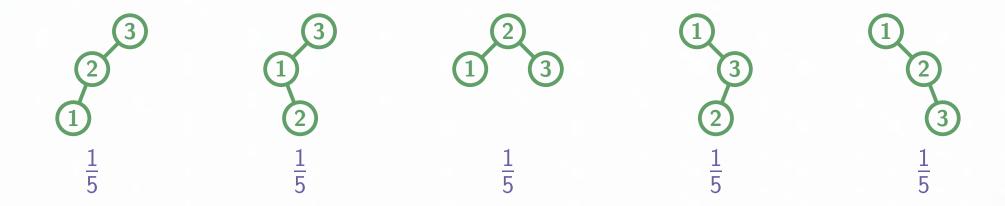




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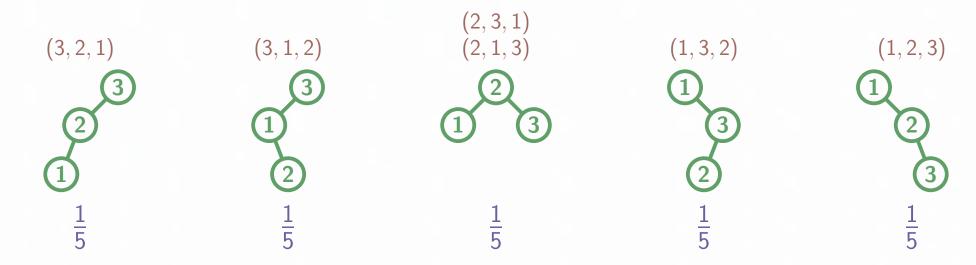
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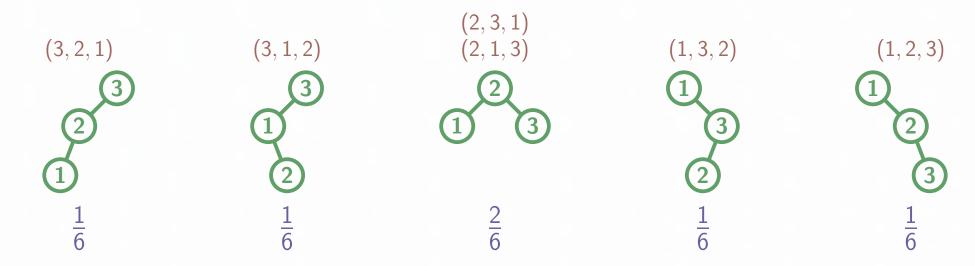
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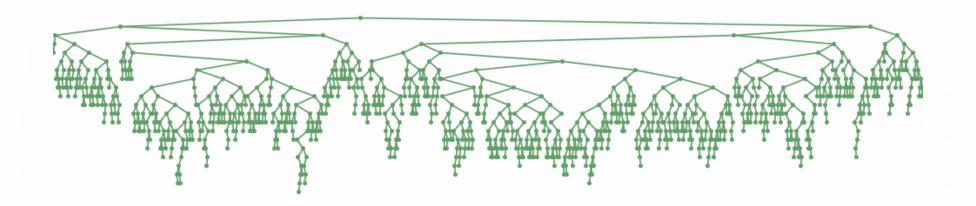
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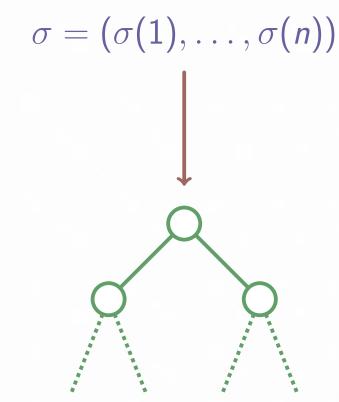


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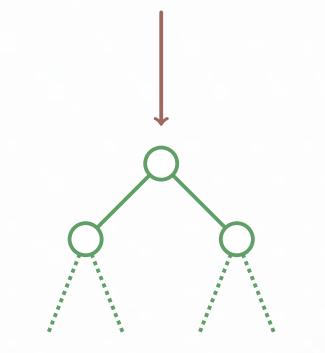
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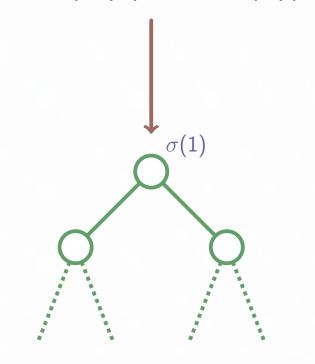
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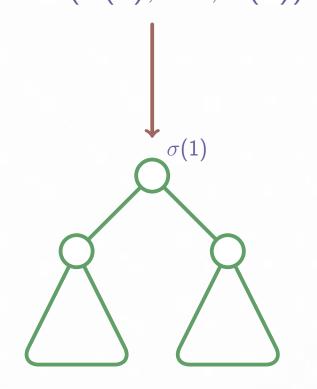
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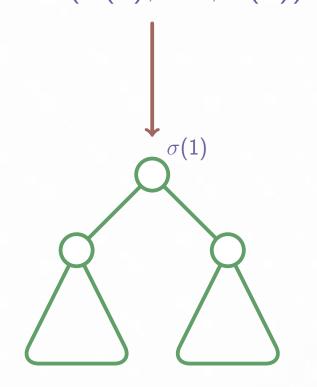
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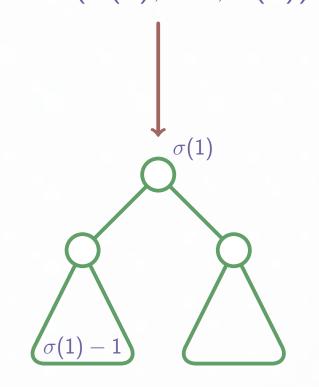
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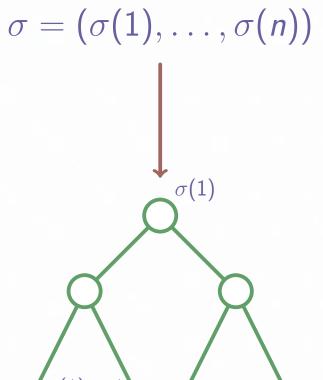


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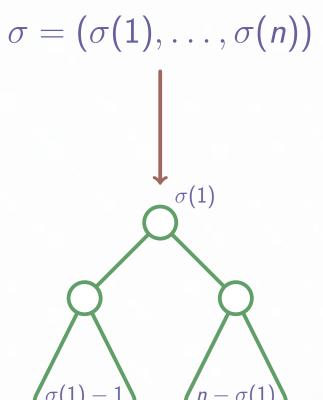
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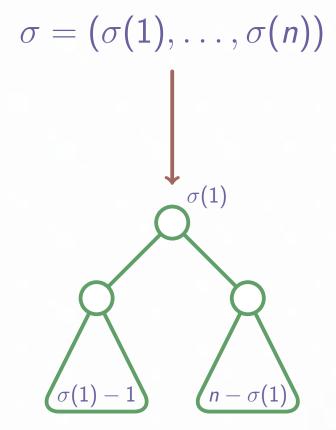


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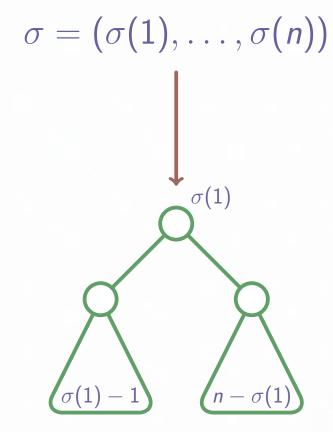


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When  $\sigma$  is uniform, we also note the following.

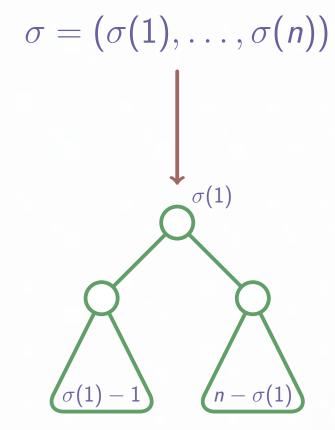
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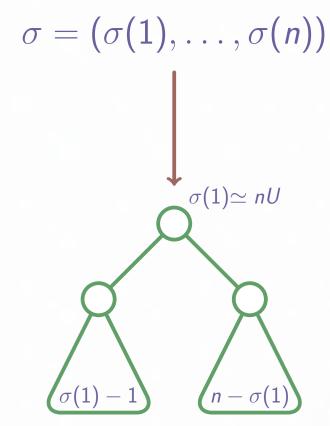
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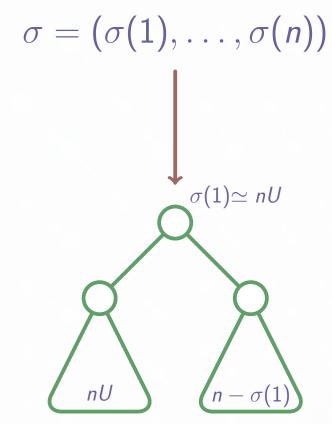
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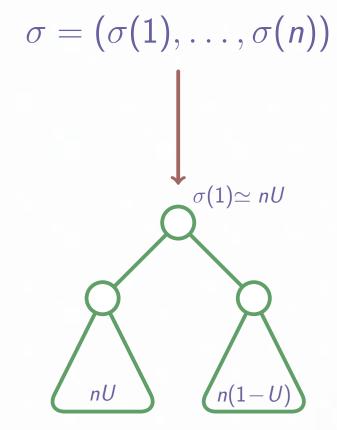
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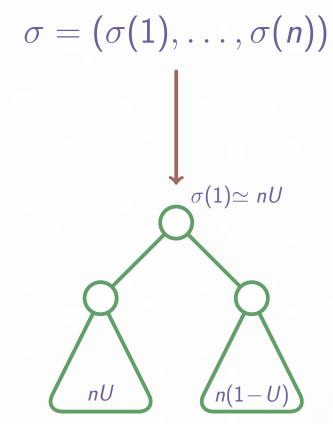
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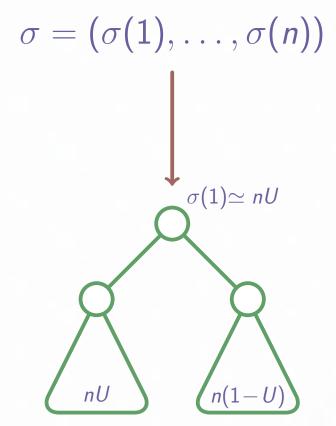
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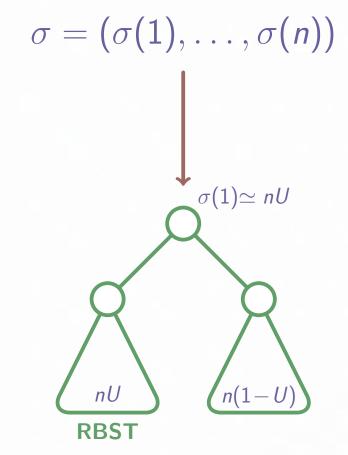
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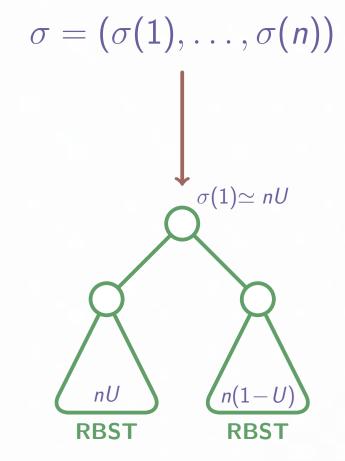
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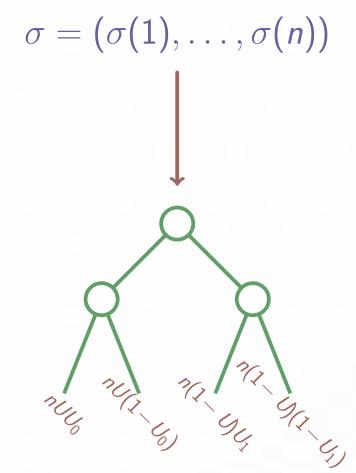
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 $\rightarrow$  Let us solve this equation.

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• Changing from discrete n to continuous x, we can take the derivative:

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• The solution is exactly  $f(x) = 1 + 2 \log x$ , implying that  $\mathbb{E}[T_n] = 1 + 2 \log n$ .

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 $\rightarrow$  On average it takes us around  $2 \log n$  steps to find the correct value!

A few remarks on the previous proof.

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  - What if we split in three instead of two?
  - What if the split between left and right is not uniform?

- The previous solution only provides an approximation for  $\mathbb{E}[T_n]$ .
- Some (even simpler) methods can provide a tighter formula for  $\mathbb{E}[T_n]$ .
  - They rely on the structure of the permutation.
- This method can be generalized to other various methods.
  - What if we split in three instead of two?
  - What if the split between left and right is not uniform?
- This "splitting tree" approach is quite robust to show other properties of the tree, such as its height<sup>2</sup>, corresponding to the worst case scenario.

### **Table of Contents**

- Example
- Binary Search Trees
- Random Models
- **Infinite Trees**

So far we have only considered finite binary search trees, built from finite sequences  $(x_1, \ldots, x_n)$  of distinct numbers.

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There are three types of infinite sequences:

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- Two-sided:  $(..., x_{-n}, ..., x_{-1}, x_0, x_1, ..., x_n, ...)$ .
- → For each such type can we define corresponding binary search trees?

$$(1, 2, 3, 4, \dots)$$

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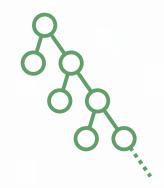
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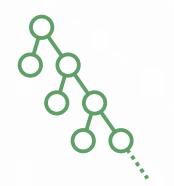
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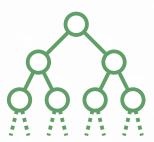
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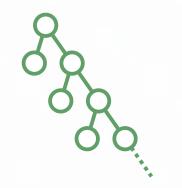


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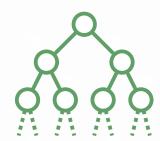
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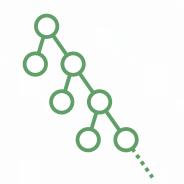
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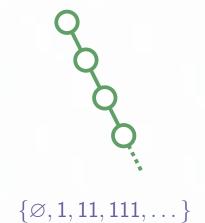


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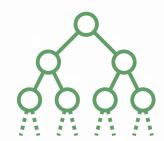
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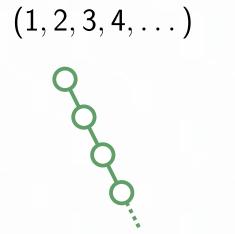


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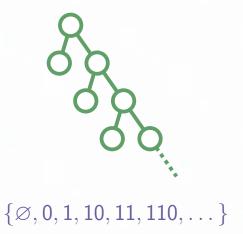
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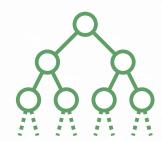


 $\{\emptyset, 1, 11, 111, \dots\}$ 

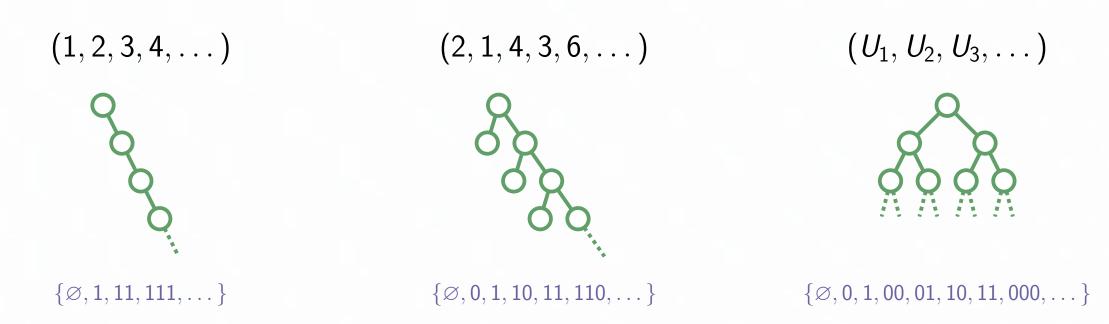
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# **Left-Sided Sequences**

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Let's skip this one for now...

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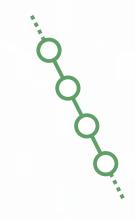
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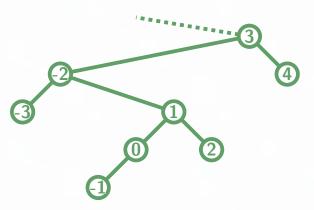


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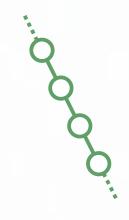


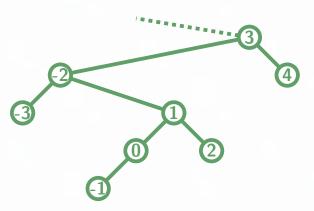
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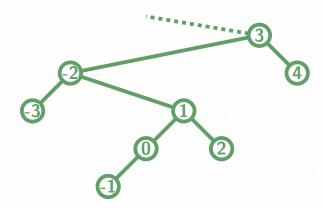


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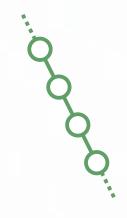


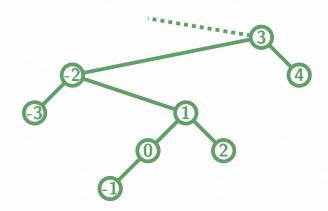




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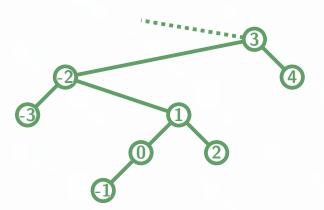




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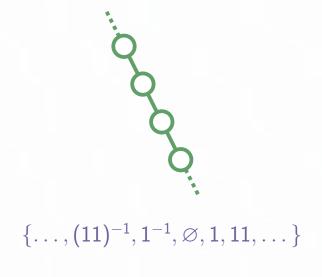


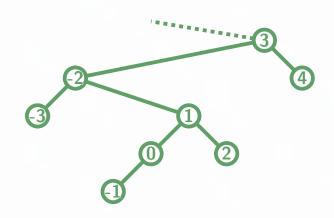




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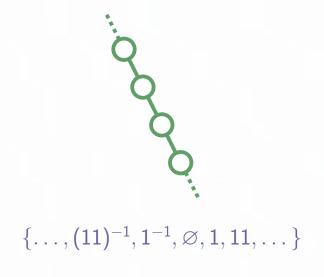


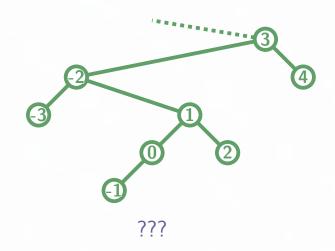




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- → The last question is the most important and tends to ignore left-sided sequences.

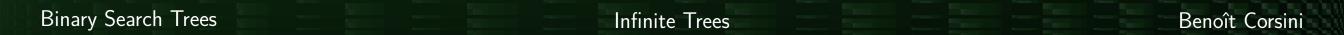
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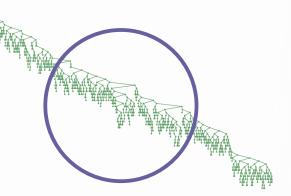
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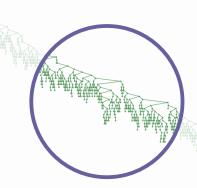
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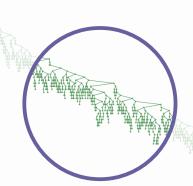
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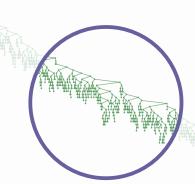
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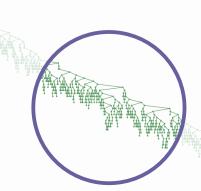
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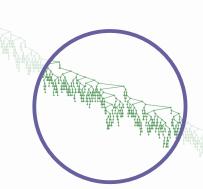
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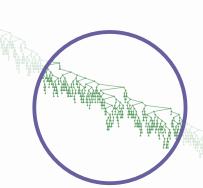
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  - Insert this sequence into a binary search tree.
- → Why does it work here?



Two-sided Mallows permutations happen to have a few properties simplifying the definition of their corresponding binary search trees.

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Binary Search Trees Infinite Trees Benoît Corsini

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- $\circ$  The tree grows up leftward, thus we only need to define  $1^{-1}$ .

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### References

- Corsini, B. (2024). Limits of Mallows trees. Electronic Journal of Probability, 29, 1-44...
- <sup>2</sup> Devroye, L. (1986). **A note on the height of binary search trees**. *Journal of the ACM (JACM), 33(3), 489-498*.
- <sup>3</sup> Gnedin, A., & Olshanski, G. (2012). **The two-sided infinite extension of the Mallows model for random permutations**. *Advances in Applied Mathematics, 48(5), 615-639*.
- Robson, J. M. (1979). The height of binary search trees. Australian Computer Journal, 11(4), 151-153.

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